

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

MO5082.02A(ETE5342.02A)

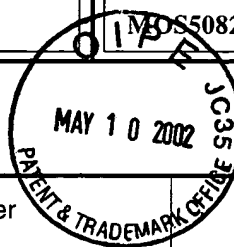
In Re Application Of: LOUISE E. MOSER; PETER M. MELLIAR-SMITH

Serial No.
10/053,240

Filing Date
OCTOBER 26, 2001

Examiner

Group Art Unit
2184



Title: **FAULT TOLERANCE FOR COMPUTER PROGRAMS THAT OPERATE OVER A COMMUNICATION NETWORK**

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(f))

MAY 17 2002

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Dated: May 9, 2002

Signature

JOHN P. O'BANION, REG. NO. 33,201
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CC:

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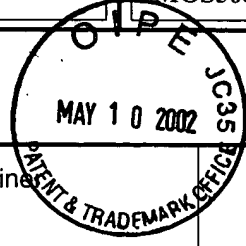
2/84 #4 BT

5-21-02

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

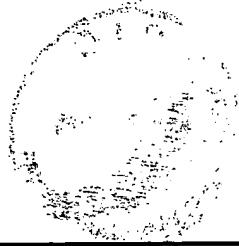
1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

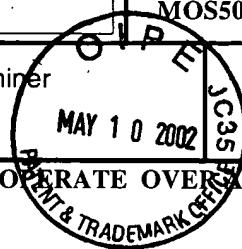
- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): LOUISE E. MOSER; PETER M. MELLIAR-SMITH			MOS5082.02A(ETE5342.02A)
Serial No. 10/053,240	Filing Date OCTOBER 26, 2001	Examiner	Group Art Unit 2184
Invention: FAULT TOLERANCE FOR COMPUTER PROGRAMS THAT OPERATE OVER A COMMUNICATION NETWORK			



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Transmittal of Information Disclosure Statement (Page 1 & 2)

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Applicant(s): LOUISE E. MOSER; PETER M. MELLIAR-SMITH

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2184

Invention: **FAULT TOLERANCE FOR COMPUTER PROGRAMS THAT OPERATE OVER A COMMUNICATION NETWORK****RECEIVED**

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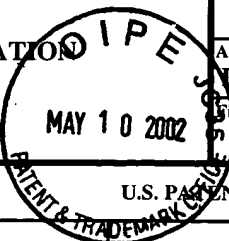
Technology Center 2100**Information Disclosure Citation (PTO-1449) (Page 1 & 2)***(Identify type of correspondence)*

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May 10, 2002*(Date)***JERRY V. KING***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL737163920US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

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Applicant(s)

LOUISE E. MOSER; PETER M. MELLAR-SMITH

Filing Date

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Group Art Unit

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,819,159	04/1989	Shipley et al.			
		5,465,328	11/1995	Dievendorf et al.			
		5,586,310	12/1996	Sharman			
		5,737,738	04/1998	Sharman			
		6,052,695	04/2000	Abe et al.			

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Bernstein, P.A. et al.; "CONCURRENCY CONTROL AND RECOVERY IN DATABASE SYSTEMS," Cover Page, Preface-Contents, pp. xi thru xiii, pp. 1 thru 24, Addison-Wesley Publishing Company, Reading, MA, 1987.
		Gary, Jim and Reuter, Andreas; "TRANSACTION PROCESSING: CONCEPTS AND TECHNIQUES," Cover Page, Contents, pp. vii thru xxi, pp. 5 thru 7, pp. 117 thru 119, pp. 202 thru 205, Morgan Kaufman Publishers, San Mateo, CA, 1993.

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*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Moser, L.E. et al.; "CONSISTENT OBJECT REPLICATION IN THE ETERNAL SYSTEM,"
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Moser, L.E. et al.; "TOTEM: A FAULT-TOLERANT MULTICAST GROUP COMMUNICATION SYSTEM,"
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Cover Page, Copyright-documentation, 3 pages, Errata Sheet pp. 1 & 2, Contents pp. i thru xii, Section 1 pp. 1-1 thru
1-18, Section 2 pp. 2-1 thru 2-64, Complete OMG IDL pp. A-1 thru A-8, Relationship to TP Standards pp. B-1 thru
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Cover page, frontpiece, Contents pp. vii thru xix, Chapter I-The Theory of Reliable System Design, pp. 1 thru 33,
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Gray, Jim, Editor; "THE BENCHMARK HANDBOOK FOR DATABASE AND TRANSACTION PROCESSING
SYSTEMS," Cover page, Table of Contents, pp. v thru ix, pp. 1 thru 17, Morgan Kaufmann Publishers, Inc.,
San Mateo, CA, 1991.

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